

Instructions

All candidates must complete Boxes A, B, C, D, E and F and Schedule 1. All candidates must complete Schedules 2, 3 and 4 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2011	07	06

 to

YYYY	MM	DD
2011	11	04

- Primary filing reflecting finances to November 4, 2011 (45 days after voting day)
 Supplementary filing including finances after November 4, 2011 (45 days after voting day)

Box A: Name of Candidate and Office

Name of Candidate		
Last Name WILLIAMS	First Name ERIC (RICK)	Middle Initial LLOYD
Mailing Address		
Suite/Unit No.	Street No. 5735	Street Name RIVERDALE CR
City/Town MISSISSAUGA	Province Ontario	Postal Code L5M4R5
Telephone No. (incl. area code)		Fax No.
Business 905-814 6180	Home 905 542 9680	Email Address ERIC.WILLIAMS@PEELSB.COM
Name of office for which the candidate sought election Councillor		Ward Name or No. (if any) 5
Name of Municipality CITY OF MISSISSAUGA		

Box B: Summary of Campaign Income and Expenses

1. My spending limit (as issued by clerk) was - - - - -	\$ 44,879.45
2. Surplus (or deficit) from previous election - - - - -	\$ 0
3. Total contributions received (from Schedule 1) - - - - -	\$ 15,444.09
4. My total campaign expenses that were subject to the spending limit were (from Box C) - - -	\$ 15,134.41
5. My total campaign expenses that were not subject to the spending limit were (from Box C) - -	\$ 0
6. Total of all campaign expenses (from Box C) - - - - -	\$ 16,377.41
7. Election campaign surplus/deficit from current election (from Box E) - - - - -	\$ (933.32)
8. Contributions refunded to candidate or spouse (from Box E) - - - - -	\$ 0
9. Amount paid to clerk (from Box E) - - - - -	\$ 0

Box C: Statement of Campaign Period Income and Expenses

From YYYY	MM	DD	To YYYY	MM	DD	For Candidate
2011	07	06	2011	11	04	ERIC (RICK) WILLIAMS

INCOME

Candidate's surplus from immediately preceding election released by the clerk	+	\$	0
Contributions from candidate	+	\$	13,724.09
Contributions from spouse of candidate	+	\$	0
All other contributions	+	\$	1,720.00
Revenue from fund-raising functions not deemed a contribution (from Schedule 2, Part III)	+	\$	
Interest income	+	\$	
Other (provide full details)			
1.	+	\$	
2.	+	\$	
3.	+	\$	

Total Campaign Period Income = \$ 15444.09 C1

EXPENSES (Note: include the value of contributions of goods and services)

Expenses Subject to Spending Limit

Advertising	+	\$	433.99
Bank charges	+	\$	84.92
Brochures	+	\$	4,785.55
Interest on loan	+	\$	
Inventory contributed to candidate's campaign (Schedule 3)	+	\$	
Meetings hosted	+	\$	
Nomination filing fee	+	\$	100.00
Office expenses	+	\$	902.26
Phone and/or Internet	+	\$	575.97
Salaries and benefits/honoraria/professional fees	+	\$	
Signs	+	\$	7,450.66
Other (provide full details)			
1. Mailbox	+	\$	84.75
2. Flyers Drop	+	\$	650.00
3. Adv. Cash Return	+	\$	66.31
Subtotal	=	\$	15,134.41

C2

Expenses Not Subject to Spending Limit

Accounting and audit	+	\$	1,243.00
Costs of fund-raising function (from Schedule 2, Part IV)	+	\$	
Expenses related to compliance audit	+	\$	
Expenses related to controverted elections	+	\$	
Expenses related to recounts	+	\$	
Voting day party / appreciation notices	+	\$	
Expenses related to candidate's disability (provide details)			
1.	+	\$	
2.	+	\$	
3.	+	\$	
Other (provide full details)			
1.	+	\$	
2.	+	\$	
3.	+	\$	
Subtotal	=	\$	1,243.00

C3

Total Campaign Period Expenses (C2) + (C3) = \$ 16377.41 C4

Excess (Deficiency) of Income over Expenses (C1) - (C4) = \$ (933.32)

Box D: Statement of Assets and Liabilities as at , 20

Assets

Cash	+	\$ 409.78	
Accounts receivable	+	\$	
Value of inventory retained (from Schedule 4)	+	\$	
Other (provide full details)			
1.	+	\$	
2.	+	\$	
3.	+	\$	
Total Assets			= \$ 409.78

Liabilities and Excess (Deficiency) of Income over Expenses

Accounts payable	+	\$ 1243. ⁰⁰	
Borrowings, overdraft	+	\$	
Other (provide full details)			
1.	+	\$	
2.	+	\$	
3.	+	\$	
Total Liabilities			= \$ 1243. ⁰⁰

Box E: Statement of Determination of Surplus or Deficit and Disposition of Surplus

Part I – Determination of Surplus or Deficit

Amount of excess (deficiency) of income over expenses (from Box C)	+	\$ (933.32)	E1
Deduct: Any deficit carried forward by the candidate from immediately preceding election if the offices are with respect to the same jurisdiction	-	\$ 0	E2
Surplus (or deficit) for the campaign period (E1) – (E2)	=	\$ (933.32)	
Deduct: Any refund of contributions to the candidate or spouse (only if there is a surplus)	-	\$ 0	
Total Determination	=	\$ (933.32)	E3

Part II – Disposition of Surplus

If line E3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Surplus paid to the municipal clerk of the municipality of The City of Mississauga

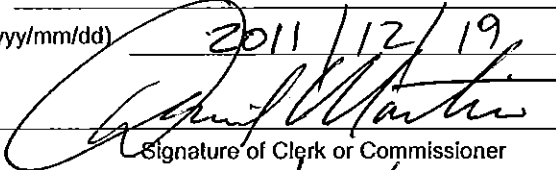
Box F: Declaration

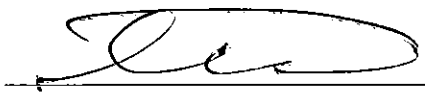
I, ERIC L WILLIAMS (RICK), a candidate in the municipality of The City of Mississauga, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)

in The City of Mississauga

on (yyyy/mm/dd) 2011/12/19


Signature of Clerk or Commissioner


Signature of Candidate

2011/12/19
Date Filed in the Clerk's Office (yyyy/mm/dd)

DAVID LESLIE MARTIN, a Commissioner, etc.,
Regional Municipality of Peel, for the
Corporation of the City of Mississauga.
Expires May 10, 2014.

Table 2: Monetary contributions from unions or corporations

Name (Legal and Carrying on Business As)	Address	President or Business Manager	Cheque Signatory	Amount
HALCOM ANDERSON & ASSOCIATES	373 ARRELSMITH DR MISSISSAUGA, ON			\$ 120. ⁰⁰
LAMPSIS Develop Inc.	38 KAMPLOOPS DR WILLOWDALE, ON			\$ 200. ⁰⁰
				\$
				\$
				\$
				\$
				\$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total \$ 320. ⁰⁰

Table 3: Contributions in goods or services (Note: must also be reported as expenses in Box C)

Name	Address	Goods or Services	Amount
			\$
			\$
			\$
			\$
			\$
			\$
			\$
			\$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total \$

Total Part II Contributions

\$

Schedule 2 – Fund-Raising Function

Additional schedule for each event or activity held is/are listed on separate supplementary attachment(s)

Date			Description of event or activity
YYYY	MM	DD	

Admission charge (per person)* (may not exceed individual contribution limit) ----- \$ 2A

*If admission charge per person is not consistent, attach complete breakdown of all ticket sales.

Number of tickets sold ----- 2B

Part I – Ticket Revenue

Lines: (2A) x (2B) (include in Schedule 1) ----- = \$

Part II – Other Revenue Deemed A Contribution

Provide full details (e.g., revenue from goods sold in excess of fair market value)

1.		- - - +	\$ <input type="text"/>
2.		- - - +	\$ <input type="text"/>
3.		- - - +	\$ <input type="text"/>
4.		- - - +	\$ <input type="text"/>
5.		- - - +	\$ <input type="text"/>
6.		- - - +	\$ <input type="text"/>
7.		- - - +	\$ <input type="text"/>
8.		- - - +	\$ <input type="text"/>

Total Part II Revenue (include in Schedule 1) ----- = \$

Part III – Other Revenue Not Deemed A Contribution

Provide full details (e.g., contributions of \$10 or less; revenue from refreshment sold at cost)

1.		- - - +	\$ <input type="text"/>
2.		- - - +	\$ <input type="text"/>
3.		- - - +	\$ <input type="text"/>
4.		- - - +	\$ <input type="text"/>
5.		- - - +	\$ <input type="text"/>
6.		- - - +	\$ <input type="text"/>
7.		- - - +	\$ <input type="text"/>
8.		- - - +	\$ <input type="text"/>

Total Part III Revenue (include in Box C) ----- = \$

Part IV – Expenses Related to Fund-Raising Function

Venue		- - - +	\$ <input type="text"/>
Event advertising		- - - +	\$ <input type="text"/>
Food and drink		- - - +	\$ <input type="text"/>
Entertainment		- - - +	\$ <input type="text"/>

Other (provide full details)

1.		- - - +	\$ <input type="text"/>
2.		- - - +	\$ <input type="text"/>
3.		- - - +	\$ <input type="text"/>
4.		- - - +	\$ <input type="text"/>
5.		- - - +	\$ <input type="text"/>
6.		- - - +	\$ <input type="text"/>
7.		- - - +	\$ <input type="text"/>
8.		- - - +	\$ <input type="text"/>

Total Part IV Expenses (include in Box C) ----- = \$

Auditor's Report**Municipal Elections Act, 1996 (Section 78)**

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report. The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Professional Designation of Auditor

CA

Municipality REGION OF PEEL		Date (yyyy/mm/dd) 2011/12/19	
Contact Person Last Name ANEJA		First Name CHARANJIT	Licence No. 1-21004
Address			
Suite/Unit No. 14	Street No. 6980	Street Name MARITZ DR	
City/Town MISSISSAUGA		Province ON	Postal Code L5W 1Z3
Telephone No. (incl. area code) 905-564-9100 ext.	Fax No. 905-874-8221	Email Address info@csaca.ca	



Aneja Professional Corporation

Chartered Accountants

14-6980 Maritz Drive, Mississauga, ON L5W 1Z3. Phone: 905-564-9100 Email: csa@csaca.ca

INDEPENDENT AUDITORS' REPORT

To City of Mississauga Clerk,

We have audited the accompanying Financial Statements – Auditor's Report Form 4 ("Financial Statements") of Eric Williams, ("candidate"), which comprise the Statement of Assets and Liabilities as at November 4, 2011, and statement of campaign period Income and Expenses and Determination of Surplus and Deficit for the campaign period from July 6, 2011 to November 4, 2011 relating to the City of Mississauga Municipal election held on September 19, 2011. These financial statements have been prepared by the candidate in accordance with the financial reporting provisions of Section 78 prescribed by the Municipal Elections Act, 1996.

Candidate's Responsibility for the Financial Statements

The candidate is responsible for the preparation of these financial statements in accordance with the financial reporting provisions of Section 78 of the *Municipal Elections Act*, 1996, and for such internal control as the candidate determines is necessary to enable the preparation of the financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of financial statements, whether due to fraud or error. In making those risks assessment, the auditor considers internal control relevant to the candidate's preparation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not the purpose of expressing an opinion on the effectiveness of internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the candidate, as well as evaluating the presentation of the financial statements. The *Municipal Elections Act*, 1996 does not, however, require us to report, nor was it practicable for us to determine, that contributions reported include only those which may be properly retained in accordance with the provision of the Act.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

Basis for Qualified Opinion

Due to the nature of the types of transactions inherent in any election campaign, the completeness of various categories of income and expenses is not susceptible of satisfactory audit verification. Accordingly, our verification of income and expenses was limited to the accounts recorded in the candidate's election campaign records and we were not able to determine whether any adjustments might be necessary to assets, liabilities, income, expenses and the campaign period surplus/deficit.


Qualified Opinion

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, the financial statements of the candidate for the period ended November 4, 2011 are prepared, in all material respects, in accordance with the financial reporting provisions of Section 78 of the *Municipal Elections Act, 1996*.

Restrictions on Use

The financial statements are prepared to assist the candidate to comply with the financial reporting provisions of Section 78 of the *Municipal Elections Act, 1996*. As a result, the financial statements may not be suitable for another purpose. Our report is intended solely for the City of Mississauga Clerk and should not be used by parties other than the City of Mississauga Clerk.

City: Mississauga, ON
Dated: December 19, 2011


Aneja Professional Corporation
Authorized to practice public accounting
by The Institute of Chartered Accountants
of Ontario